



VOYAGER 201

GALI-1651
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Applicants : Daman, et al.
Serial No. : 09/767,126
Filed : 1/22/01
For : REAL TIME ELECTRONIC COMMERCE
TELECOMMUNICATION SYSTEM AND METHOD
Group Art Unit : 1651

April 3, 2001

Hon. Commissioner of Patents
and Trademarks
Washington, DC 20231

#7/TAS

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and § 1.97(b), applicants wish to call the attention of the Examiner to the references listed below:

U.S. Patent Nos. 5,897,620; 5,270,921; 5,890,138; 5,835,896; 5,905,975; 5,715,402; 5,999,915; 5,774,357; 6,044,363; 5,850,442; 6,000,826; 5,826,244; 5,950,177; 5,818,914; 5,802,502; 5,794,219; 5,727,165; 5,803,500; 5,845,266; 4,789,928; 4,412,287; 4,799,156; 5,964,836; 5,243,515; 5,689,652; 5,136,501; 5,297,031; Japanese Abstracts 03142520; 08233918; 08218344; 07301101; 07301096; 08336375; 06331213; 07150696; 07143458; 09282932; 10048535; 07095659; 07260511; 06185624; 06185625; 06107215; 06096557; 05201617; 05176900; 05101664; 05101663; 04240580; 04195046;

04156381; 04156380; 04155572; 04109540; 04109539; 04068372;
04095479; 04095478; 04076914; 04031318; 04076915; 03105273 and
the following references: "Using Auctions to Divest Generation
Assets"; Ausubel, "Demand Reduction and Inefficiency...";
Ausubel, "Vickrey Auctions with Reserve Pricing"; Klemperer,
"Auctions with Almost Common Values..."; Klemperer, "Auction
Theory and its Applications to Economics"; "The Internet and
Economics"; Klemperer, "Auction Theory: A Guide to the
Literature"; "Peter Cramton's HomePage"; Bulow, "Prices and the
Winners Curse"; Cramton, "Ascending Auctions"; Fitzgibbon,
"Investors Shun Salon.com Dutch Auction"; "Continuous Dutch
Auction Bid Format"; "About the Klik-Klok Dutch Auction";
Banatre, "The Design and Building of Enchere..."; "The Bobcad
Dutch Auction"; "A Dutch Auction"; Kumar, "IBM Inst for Adv.
Commerce..."; "ACE Market Operations Guide"; Marimba Customer
Profile - On Sale - Press Release - Press Release; Youngworth,
"Internet Auction House Offers Personalized..."; Hatlestad,
"Plumbers' Helpers"; "Joint Report on the Government Securities
Market"; Dialog(R) File 610; Dialog(R) File 609; Dialog(R) File
16: PROMT(R); Dialog(R) File 16:1AC PROMT(R); Dialog(R) File
148:1AC; "Dutch Auction Interest and Dividend Reset"; "Dutch
Auction"; "Dutch Auction Rate Transferable Securities (DARTS)";

"West Point Stevens..."; "Continuous Dutch Auction Bid Format"; Fitzgibbon, "The fine bouquet of a Dutch auction"; "Dutch Auction"; "Dutch Auctions"; "Auction Types-Dutch"; "Revenue Ruling Regarding the Dutch Auction Rate Issued"; Brownlee, "Salon IPO Bombs on 1st Day of Trading"; "Bidders/Buyers FAQ's"; "Technical Papers-Business Negotiations on the Internet"; Gonzales, "Arrest of ships in the Netherlands Antilles and Aruba"; "Z-Tree: Tips and Tricks"; "Dutch Sequential Auctions"; "What's a Dutch Auction and how does it work"; "Selling After the close of your Auction"; "BigTime Analyst goes Internet...", "Knowledge Market Information-Auction Types; Kumar, "Business Negotiations on the Internet"; "Welcome to the Timeshare Auction"; "Help for Buyers"; "Auction Formats"; Connolly, "Going Once, Going Twice"; Junnarkar, "Plans auction site for second-hand goods".

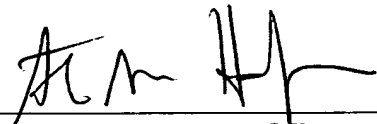
A copy of these references are submitted herewith along with Form PTO-1449.

Some of these references have been cited and described in the "Background of the Invention" portion of the application.

Consideration of the foregoing is respectfully requested
during the initial examination of this application.

Respectfully submitted,

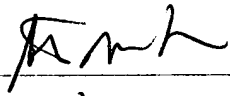
MILDE, HOFFBERG & MACKLIN, LLP

By 
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914-949-3100

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Sheet 1 of 10

Application Number	09/767,126
Filing Date	1/22/01
First Named Inventor	DAMAN
Group Art Unit	1651
Examiner Name	
Attorney Docket Number	VOYAGER 201

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Paragraphs or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5897620		WALKER ET AL	4/27/99	
		5270921		HORNICK	12/14/93	
		5890138		GODIN, ET AL	3/30/99	
		5835896		FISHER ET AL	11/10/98	
		5905975		AUSUBEL	5/18/99	
		5715402		POPOLO	2/3/98	
		5999915		NAHAN ET AL	12/7/99	
		5774357		HOFFBERG ET AL	6/30/98	
		6044363		MORI, ET AL	3/28/00	
		5850442		MUFTIC	12/15/98	
		6000826		PARUNAK, ET AL	12/14/99	
		5826244		HUBERMAN	10/20/98	
		5950177		LUPIEN ET AL	9/7/99	
		5818914		FUJISAKI	10/6/98	
		5802502		GELL ET AL	9/1/98	
		5794219		BROWN	8/11/98	
		5727165		ORDISH ET AL	3/10/98	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	To
		Office³	Number⁴				
			03142520		TOYOTACHIYUKO	12/7/93	(ABSTRACT)
			08233918		HITACHI	3/24/98	"
			08218344		FUJITSU GENERAL	3/6/98	"
			07301101		FUJITSU GENERAL	6/6/97	"
			07301096		FUJITSU GENERAL	6/6/97	"
			08336375		NIPPON STEEL	6/30/97	"
			08161412	N	DAK NET KK	6/21/96	"
			07150696		NISSHO Iwai Corp	1/10/97	"
			07143458		FUJITSU GENERAL	12/24/96	"
			09282932		KUJIRADA MASANOBU	3/30/99	"

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
			0048535		URATA KOICHI	8/6/99	(ABSTRACT)	
			07095659		FUJITSU GENERAL	11/5/96	"	
			07260511		FUJITSU GENERAL	4/15/97	"	
			06185624 /		FUJITSU GENERAL	2/20/96	"	
			06185625		FUJITSU GENERAL	2/20/96	"	
			06107215		FUJITSU GENERAL	12/8/95	"	
			06096557		FUJITSU GENERAL	11/14/95	"	
			05201617		NIPPON SHOKUGITSU	3/17/95	"	
			05176900		FUJITSU KIDEN	2/7/95	"	
			05101664		FUJITSU GENERAL	11/8/94	"	

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		Office ³	Number ⁴ (if known)			
			05101663	FUJITSU GENERAL	11/8/94	(ABSTRACT)
			04240580	SHINKO SEISAKUSHO	4/8/94	"
			04195046	SHINKO SEISAKUSHO	2/18/94	"
			04156381	SHINKO SEISAKUSHO	12/27/93	"
			04156380	SHINKO SEISAKUSHO	12/27/93	"
			04155572	AKADA DENKI SHOTI	12/7/93	"
			04109540	SHINKO SEISAKUSHO	11/16/93	"
			04109539	SHINKO SEISAKUSHO	11/16/93	"
			04068372	SHINKO SEISAKUSHO	11/12/93	"
			04095479	SHINKO SEISAKUSHO	11/5/93	"

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Attorney Docket Number	VOYAGER 201

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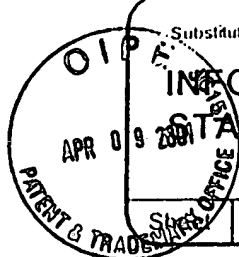
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Application Number	09/767,126
Filing Date	1/22/01
First Named Inventor	DAMAN
Group Art Unit	1651
Examiner Name	
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		"Using Auctions to Divest Generation Assets", Electricity Journal, 10:10, 22-31 December 1997 -
		AUSUBEL, ETAL, "Demand Reduction and Inefficiency in Multi-unit Auctions", Univ. of Md., 20 March 1998 -
		AUSUBEL, ETAL, "Vickrey Auctions with Reserve Pricing", Univ of Md., 28 June 1999 -

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		KLEMPERER, "Auctions with Almost Common Values", Oxford Univ.
		KLEMPERER, "Auction Theory and its Applications to Economics"
		"The Internet and Economics", http://www.ex.ac.uk/RDavies/arian/internet.html
		KLEMPERER, "Auction Theory: A Guide to the Literature"
		"Peter Cramton's Home Page", http://www.cramton.umd.edu/auction-papers.htm
		BULOW, ETAL "Prices and the Winners Curse"
		CRAMTON, "Ascending Auctions"
		FITZGIBBON, "Investors Shun Salon.com Dutch Auction", Redherring.com
		"Continuous Dutch Auction Bid Format", IAS-Dutch Auction
		Format - http://cattleofferings.com/auction/dutch/dutchformat_text.ht
		"About the Klik-Klok Dutch Auction", Klik-Klok Online Dutch Auction
		http://www.klik-klok.com/how.htm
		BANATRE, ETAL, "The Design and Building of Endere...", Computing Practices, Jan 29, 1986, No1, pp 19-29

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		"The Bobcad Dutch Auction" http://www.vectorcam.com/dutchauc.htm	
		"A Dutch Auction" - http://www.agorics.com/auctions/auction3.htm	
		② tree overview - http://www.iew.unizh.ch/ztree/tipstricks.htm	
		KUMAR ETAL, "IBM Inst for Adv. Commerce, Reports, Technical Papers" / http://www.ibm.com/iac/reports-technical/reports-bus-neg-internet.htm	
		"ACE Market Operations Guide" Sholtz & Assoc, Jan 3, 1996 /	
		MARIMBA Customer Profile /	
		MARIMBA - On Sale /	
		MARIMBA Press Release - "Internet Auction House ONSALE..."	
		MARIMBA Press Release - "Marimba's Castanet Selected..."	
		YOUNG WORTH, "Internet Auction House Offers Personalized Approach to E-Business" eBusiness Mag. 9/1998	
		HATLESTAD, "Plumbers' Helpers" Red Herring Magazine, Nov 98 /	
		"JOINT REPORT on the Government Securities Market" Jan 1992,	
		DIALOG(R) File 610: Business Wire 0489267 - BW 0022, "ONSALE: brings thrill of Auctions..." May 24, 1995	

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		DIALOG(R) File 609: KR/T Bus. News - 2096205-5519 "California "Computer" Auctions No Boon for Bargain Hunters", Sept 13, 1993	
		DIALOG(R) File 16: PROMT(R) - 03482064- "Car auction reaches into space", Automotive News, 11/25/91, p. 6	
		DIALOG(R) File 16: IAC PROMT(R) - 05649796- "Onsale joins fray as online shopping picks up speed", Computer Reseller News, 6/5/95, p. 73	
		DIALOG(R) File 148: IAC Trade and Industry Database, "Nowhere to go but up", PC Week, 10/23/95	
		"Dutch Auction Interest and Dividend Reset", http://risk.ifici.ch/00011235 , "Dutch Auction"; http://risk.ifici.ch/00011234.htm	
		"Dutch Auction Rate Transferable Securities (DARTS)": http://risk.ifici.ch/00011236 ,	
		"WestPoint Stevens Commences Dutch Auction Tender Offer for Up to 3,000,000 Shares of Common Stock"; http://biz.yahoo.com/bw/991029/ga-westpoi-1.html	
		"Continuous Dutch Auction Bid Format"; http://cattleofferings.com/auction/dutch/dutchformat_text.html	

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Application Number	09/767,126
Filing Date	11/22/01
First Named Inventor	DAMAN
Group Art Unit	1651
Examiner Name	
Attorney Docket Number	VOYAGER 201

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		FITZGIBBON, "The fine bouquet of a Dutch auction", wysiwyg: http://www.redherring.com/insider/1999/0402/inv-dutchauction.htm
		"Dutch Auction": http://ai.wwed.com/auction/wwed/help/dutch-help.html
		"Dutch Auctions": http://www.bidwerx.com/auctions-dutch.htm
		"Auction Types - Dutch": http://www.agorics.com/auctions/auction3.html
		"Revenue Ruling Regarding the Dutch Auction Rate Issued": http://www.unclefed.com/Tax-News/1990/Nr90-48.html
		BROWNLEE: "Salon IPO Bombs on 1st Day of Trading" http://www.nypost.com/business/9661.htm
		"Bidders/Buyers FAQs": http://www.goldsanction.com/html/buyerFAQ.html
		"Technical Papers - Business Negotiations on the Internet": http://www.ibm.com/sac/reports-technical/reports-bus-neg-internet.html
		GONZALES, "Arrest of ships in the Netherlands Antilles and Aruba": http://www.na-law.com/law/article2.htm

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Application Number	09/767,126
Filing Date	11/22/01
First Named Inventor	DAMAN
Group Art Unit	1651
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		"Z-Tree: Tips and Tricks": http://www.lew.unizh.ch/ztree/tipstricks.htm
		"Dutch Sequential Auctions": http://www.jdawise.com/papers/finmkts/dutchseq.htm
		"What is a Dutch Auction and how does it work": http://www.dmoz.org/Shopping/Auctions/Dutch_Auctions/desc.htm
		"Selling After the close of your auction": http://www.liquidation.com/service/faq-sellers.html
		"BigTime Analyst Goes Internet; Hambrecht Goes Dutch Auction; Portals Go E-Commerce": http://www.portal-to-wallstreet.com/OPED/11A/9906jw.html
		"Knowledge Market™ Information - Auction Types": wysiwyg://280/http://www.intellifact.com/auction/types.htm
		KUMAR; "Business Negotiations on the Internet": http://www.isoc.org/inet98/proceedings/36/36_3
		"Welcome to the Timeshare Auction": http://www.thetimeshareauction.com/newuser.shtml
		"Help for Buyers": http://www.gofish.com.au/members/ReviewingAListing.htm
		"Auction Formats": http://pages.com.ebay.com/aw/help/help-faq-format.html
		CONNOLLY; "Going Once, Going Twice": wysiwyg://10/http://www.zdnet.com/products/stories/reviews/0,4161,2306286,00.htm
		JUNNARKAR; "Plans auction site for second-hand goods": http://news.cnet.com/news/0-1007-200-1506830.html

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